

An automata characterisation for multiple context-free languages

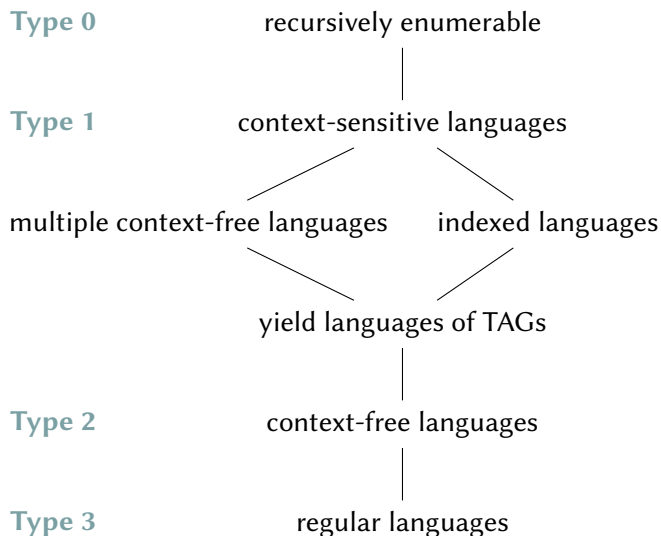
Tobias Denking

`tobias.denking@tu-dresden.de`

Institute of Theoretical Computer Science
Faculty of Computer Science
Technische Universität Dresden

DLT, Montréal, 2016-07-27

A set diagram of some language classes



A set diagram of some language classes

Type 0

recursively enumerable

↪ Turing machines

Type 1

context-sensitive languages

↪ linear bounded automata

multiple context-free languages

indexed languages

↪ nested stack automata

yield languages of TAGs

↪ embedded pushdown automata

Type 2

context-free languages

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Type 3

regular languages

↪ finite state automata

A set diagram of some language classes

Type 0

recursively enumerable

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Type 1

context-sensitive languages

↪ linear bounded automata

multiple context-free languages

↪ thread automata
+ automata with storage

indexed languages

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Type 2

context-free languages

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Type 3

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Outline

- 1 Multiple context-free grammars
- 2 Tree stack automata
- 3 The automata characterisation

Composition functions (example)

$$(\Sigma^*) \times (\Sigma^* \times \Sigma^*) \rightarrow (\Sigma^* \times \Sigma^*)$$

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$$\begin{array}{ccc} (\Sigma^*) \times (\Sigma^* \times \Sigma^*) & \rightarrow & (\Sigma^* \times \Sigma^*) \\ \downarrow & & \downarrow \quad \downarrow \\ x_1 & & y_1 \quad y_2 \end{array}$$

Composition functions (example)

$$[x_1 y_2, b y_1]: (\Sigma^*) \times (\Sigma^* \times \Sigma^*) \rightarrow (\Sigma^* \times \Sigma^*)$$

$\downarrow \qquad \downarrow \qquad \downarrow$
 $x_1 \qquad y_1 \qquad y_2$

each variable occurs at most once

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$$[x_1 y_2, b y_1]((\alpha \gamma), (\beta, \alpha)) = (\alpha \gamma \alpha, b \beta)$$

each variable occurs at most once

Multiple context-free grammars (MCFGs)

$$G = (\underbrace{\{S, A, B\}}_{\text{nonterminals}}, \underbrace{\{a, b, c, d\}}_{\text{terminals}}, \underbrace{\{S\}}_{\text{initial nts}}, \underbrace{\{\rho_1, \dots, \rho_5\}}_{\text{productions}})$$

productions:

$$\rho_1 = S \rightarrow [x_1 y_1 x_2 y_2](A, B)$$

$$\rho_2 = A \rightarrow [a x_1, c x_2](A)$$

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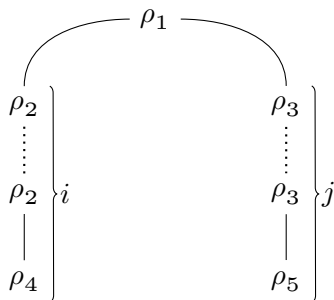
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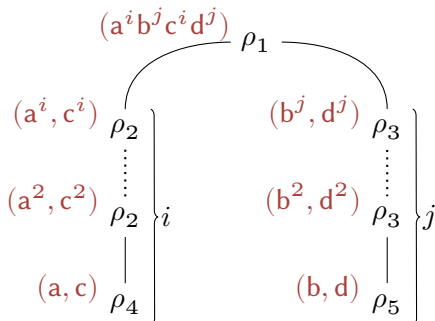
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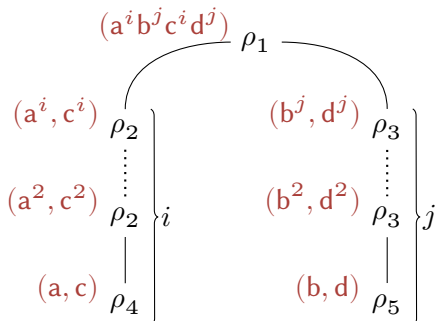
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$$L(G) = \{a^i b^j c^i d^j \mid i, j \geq 1\}$$

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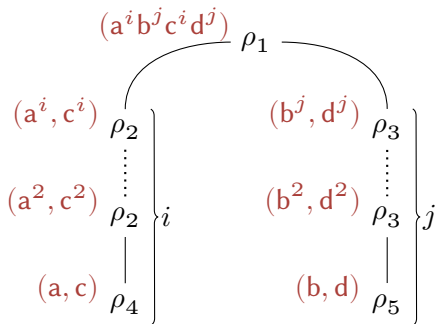
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$$\text{fan-out}(G) = 2$$



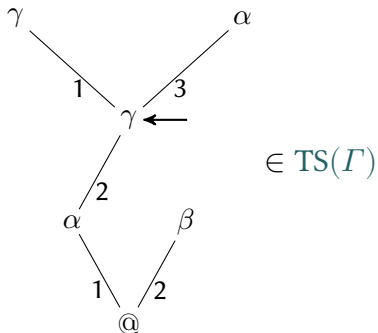
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The tree stack idea from Villemonte de la Clergerie (2002a,b)

data type $\text{TS}(\Gamma)$

- stack symbols Γ

example

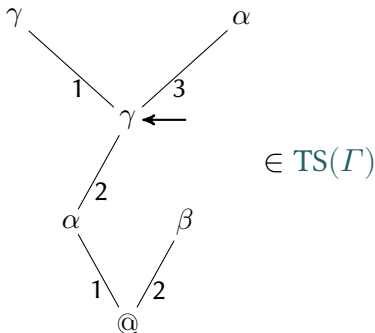


The tree stack idea from Villemonte de la Clergerie (2002a,b)

data type $\text{TS}(\Gamma)$

- stack symbols Γ
- partial function $\xi: \mathbb{N}_+^* \rightarrow \Gamma \uplus \{\textcircled{\@}\}$
- stack pointer $p \in \mathbb{N}_+^*$ from the domain of ξ

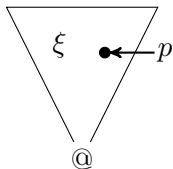
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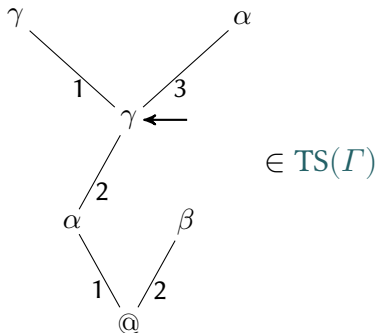
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- domain of ξ prefix-closed



- @ exactly at the root

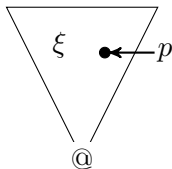
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predicates (unary)

$$\text{true} = \text{TS}(\Gamma)$$

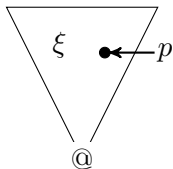
$$\text{bot} = \{(\xi, p) \in \text{TS}(\Gamma) \mid p = \varepsilon\}$$

$$\text{eq}(\gamma) = \{(\xi, p) \in \text{TS}(\Gamma) \mid \xi(p) = \gamma\}$$

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instructions (possibly partial)

id

$$\text{set}(\gamma): \xi(p) := \gamma$$

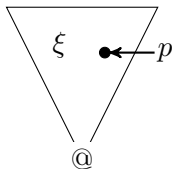
(only if $p \neq \varepsilon$)

up_i : move stack pointer to i -th child
(only if $\xi(pi)$ is defined)

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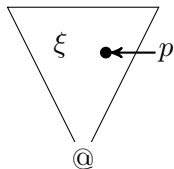
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up_i: move stack pointer to i -th child
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push_i(γ): push γ to the i -th child
(only if $\xi(pi)$ is undefined)

down: move stack pointer to parent

Tree-stack automata (TSA) as automata with storage, Scott (1967)

| | |
|--------------------------------|----|
| $\tau_1 = (1, a,$ | 2) |
| $\tau_2 = (2, a,$ | 2) |
| $\tau_3 = (2, \varepsilon,$ | 3) |
| $\tau_4 = (3, \varepsilon,$ | 3) |
| $\tau_5 = (3, b,$ | 4) |
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| $\tau_{14} = (8, d,$ | 8) |
| $\tau_{15} = (8, \varepsilon,$ | 9) |

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instructions

| | | |
|--|---------------------|----|
| $\tau_1 = (1, a, \text{bot}$ | $\text{push}_1(*)$ | 2) |
| $\tau_2 = (2, a, \text{true}$ | $\text{push}_1(*)$ | 2) |
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| $\tau_4 = (3, \varepsilon, \text{true}$ | down | 3) |
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state: 1 stack: @ ←

input tape:

| | | | | | |
|---|---|---|---|---|---|
| a | a | b | c | c | d |
|---|---|---|---|---|---|

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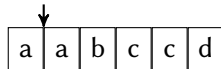
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state: 2

stack:



input tape:



run:

τ_1

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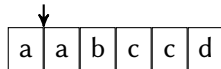
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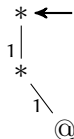
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- $\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$
- $\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$
- $\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$
- $\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$
- $\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$
- $\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$
- $\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$
- $\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$
- $\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$
- $\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$
- $\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$
- $\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$
- $\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

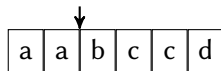
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$

state: 2

stack:



input tape:



run:

$\tau_1 \tau_2$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

$\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$

$\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$

$\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$

$\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$

$\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$

$\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$

$\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$

$\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$

$\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$

$\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$

$\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$

$\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$

$\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$

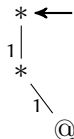
$\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$

$\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

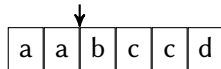
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$

state: 2

stack:



input tape:



run:

$\tau_1 \tau_2$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

$\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$

$\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$

$\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$

$\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$

$\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$

$\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$

$\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$

$\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$

$\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$

$\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$

$\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$

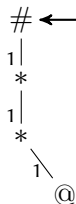
$\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$

$\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$

$\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$

$\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

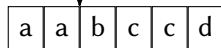
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 3

stack:

input tape:



run:

$\tau_1 \tau_2 \tau_3$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

$\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$

$\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$

$\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$

$\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$

$\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$

$\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$

$\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$

$\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$

$\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$

$\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$

$\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$

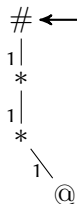
$\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$

$\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$

$\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$

$\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

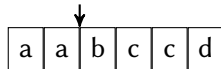
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 3

stack:

input tape:



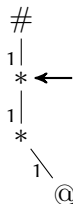
run:

$\tau_1 \tau_2 \tau_3$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

- $\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$
- $\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$
- $\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$
- $\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$
- $\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$
- $\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$
- $\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$
- $\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$
- $\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$
- $\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$
- $\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$
- $\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$
- $\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$
- $\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$
- $\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

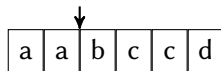
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 3

stack:

input tape:



run:

$\tau_1 \tau_2 \tau_3 \tau_4$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

$\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$

$\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$

$\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$

$\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$

$\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$

$\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$

$\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$

$\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$

$\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$

$\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$

$\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$

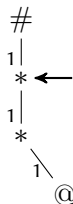
$\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$

$\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$

$\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$

$\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

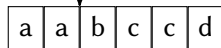
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 3

stack:

input tape:



run:

$\tau_1 \tau_2 \tau_3 \tau_4$

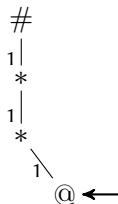
Tree-stack automata (TSA) as automata with storage, Scott (1967)

- $\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$
- $\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$
- $\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$
- $\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$
- $\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$
- $\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$
- $\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$
- $\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$
- $\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$
- $\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$
- $\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$
- $\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$
- $\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$
- $\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$
- $\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

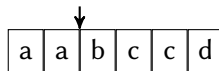
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$

state: 3

stack:



input tape:



run:

$\tau_1 \tau_2 \tau_3 \tau_4^3$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

$\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$

$\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$

$\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$

$\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$

$\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$

$\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$

$\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$

$\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$

$\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$

$\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$

$\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$

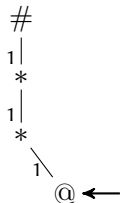
$\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$

$\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$

$\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$

$\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

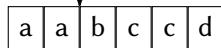
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 3

stack:

input tape:



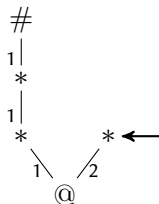
run:

$\tau_1 \tau_2 \tau_3 \tau_4^3$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

- $\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$
- $\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$
- $\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$
- $\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$
- $\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$
- $\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$
- $\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$
- $\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$
- $\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$
- $\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$
- $\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$
- $\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$
- $\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$
- $\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$
- $\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

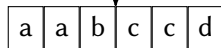
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 4

stack:

input tape:



run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

$\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$

$\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$

$\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$

$\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$

$\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$

$\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$

$\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$

$\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$

$\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$

$\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$

$\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$

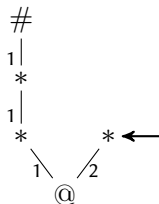
$\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$

$\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$

$\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$

$\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$

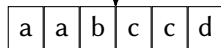


state: 4

stack:

@

input tape:



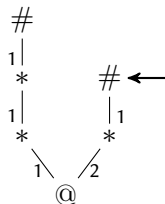
run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

- $\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$
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- $\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$
- $\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$
- $\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$
- $\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$
- $\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$
- $\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$
- $\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$
- $\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$
- $\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$
- $\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$
- $\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$
- $\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$
- $\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

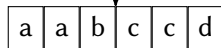
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 4

stack:

input tape:



run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

$\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$

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$\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$

$\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$

$\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$

$\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$

$\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$

$\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$

$\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$

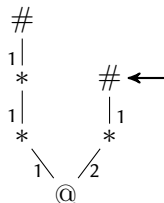
$\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$

$\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$

$\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$

$\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

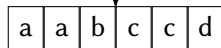
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 4

stack:

input tape:



run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7$

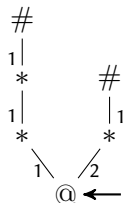
Tree-stack automata (TSA) as automata with storage, Scott (1967)

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- $\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$
- $\tau_3 = (2, \varepsilon, \text{true}, \text{push}_1(\#), 3)$
- $\tau_4 = (3, \varepsilon, \text{true}, \text{down}, 3)$
- $\tau_5 = (3, b, \text{bot}, \text{push}_2(*), 4)$
- $\tau_6 = (4, b, \text{true}, \text{push}_1(*), 4)$
- $\tau_7 = (4, \varepsilon, \text{true}, \text{push}_1(\#), 5)$
- $\tau_8 = (5, \varepsilon, \text{true}, \text{down}, 5)$
- $\tau_9 = (5, \varepsilon, \text{bot}, \text{up}_1, 6)$
- $\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$
- $\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$
- $\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$
- $\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$
- $\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$
- $\tau_{15} = (8, \varepsilon, \text{eq}(\#), \text{id}, 9)$

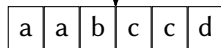
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$

state: 5

stack:



input tape:



run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7 \tau_8^2$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

$\tau_1 = (1, a, \text{bot}, \text{push}_1(*), 2)$

$\tau_2 = (2, a, \text{true}, \text{push}_1(*), 2)$

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$\tau_{10} = (6, c, \text{eq}(*), \text{up}_1, 6)$

$\tau_{11} = (6, \varepsilon, \text{eq}(\#), \text{down}, 7)$

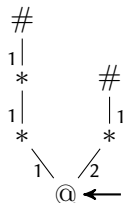
$\tau_{12} = (7, \varepsilon, \text{eq}(*), \text{down}, 7)$

$\tau_{13} = (7, \varepsilon, \text{bot}, \text{up}_2, 8)$

$\tau_{14} = (8, d, \text{eq}(*), \text{up}_1, 8)$

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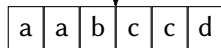
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 5

stack:

input tape:



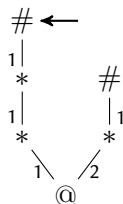
run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7 \tau_8^2$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

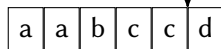
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recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 6 stack:

input tape:



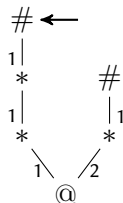
run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7 \tau_8^2 \tau_9 \tau_{10}^2$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

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recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 6 stack:

input tape:

| | | | | | |
|---|---|---|---|---|---|
| a | a | b | c | c | d |
|---|---|---|---|---|---|

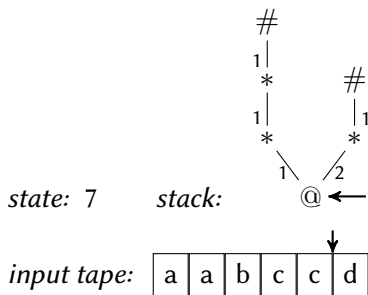
run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7 \tau_8^2 \tau_9 \tau_{10}^2$

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run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7 \tau_8^2 \tau_9 \tau_{10}^2 \tau_{11} \tau_{12}^2$

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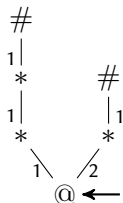
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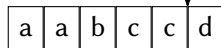
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 7

stack:

input tape:



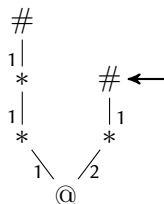
run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7 \tau_8^2 \tau_9 \tau_{10}^2 \tau_{11} \tau_{12}^2$

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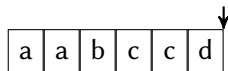
recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 9

stack:

input tape:



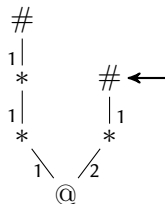
run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7 \tau_8^2 \tau_9 \tau_{10}^2 \tau_{11} \tau_{12}^2 \tau_{13} \tau_{14} \tau_{15}$

Tree-stack automata (TSA) as automata with storage, Scott (1967)

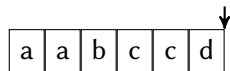
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recognises $\{a^i b^j c^i d^j \mid i, j \leq 1\}$



state: 9 stack:

input tape:



run:

$\tau_1 \tau_2 \tau_3 \tau_4^3 \tau_5 \tau_7 \tau_8^2 \tau_9 \tau_{10}^2 \tau_{11} \tau_{12}^2 \tau_{13} \tau_{14} \tau_{15}$

2-restricted: enters each stack position at most 2 times *from below*

The automata characterisation

Theorem

Denkinger (2016)

$$k\text{-MCFL} = k\text{-TSL}_r$$

Proof sketch: Show both set inclusions by construction.

k-MCFL: languages generated by MCFGs of fan-out at most *k*

k-TSL: languages recognised by *k*-restricted tree stack automata

$$k\text{-MCFL} \subseteq k\text{-TSL}_r$$

Lemma

Denkinger (2016)

$$k\text{-MCFL} \subseteq k\text{-TSL}_r$$

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$$k\text{-MCFL} \subseteq k\text{-TSL}_r$$

Construction idea:

$$\rho = A \rightarrow [\text{ a } x_1 \quad , \quad \text{ c } x_2 \quad](B)$$

return addresses

$k\text{-MCFL} \subseteq k\text{-TSL}_r$

Lemma

Denkinger (2016)

 $k\text{-MCFL} \subseteq k\text{-TSL}_r$ *Construction idea:*

$$\rho = A \rightarrow [\bullet a \bullet x_1 \bullet , \bullet c \bullet x_2 \bullet](B)$$

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Lemma

Denkinger (2016)

$$k\text{-MCFL} \subseteq k\text{-TSL}_r$$

Construction idea:

$$\rho = A \rightarrow [\bullet a \bullet x_1 \bullet , \bullet c \bullet x_2 \bullet](B)$$

return addresses

$$\langle \rho, 1, 0 \rangle \quad \langle \rho, 1, 2 \rangle \quad \langle \rho, 2, 1 \rangle$$

example transitions:

$k\text{-MCFL} \subseteq k\text{-TSL}_r$

Lemma

Denkinger (2016)

$$k\text{-MCFL} \subseteq k\text{-TSL}_r$$

Construction idea:

$$\rho = A \rightarrow [\bullet a \bullet x_1 \bullet , \bullet c \bullet x_2 \bullet](B)$$

return addresses

$$\langle \rho, 1, 0 \rangle \quad \langle \rho, 1, 2 \rangle \quad \langle \rho, 2, 1 \rangle$$

*example transitions:*read: $(\langle \rho, 1, 0 \rangle, a, \text{true}, \text{id}, \langle \rho, 1, 1 \rangle)$

$k\text{-MCFL} \subseteq k\text{-TSL}_r$

Lemma

Denkinger (2016)

 $k\text{-MCFL} \subseteq k\text{-TSL}_r$ *Construction idea:*

$$\rho = A \rightarrow [\bullet a \bullet x_1 \bullet , \bullet c \bullet x_2 \bullet](B)$$

return addresses

$$\begin{array}{ccccccc} & \langle \rho, 1, 1 \rangle & & \langle \rho, 2, 0 \rangle & & \langle \rho, 2, 2 \rangle & \\ & \downarrow & & \downarrow & & \downarrow & \\ \bullet & a & \bullet & x_1 & \bullet & , & \bullet & c & \bullet & x_2 & \bullet \\ & \uparrow & & \uparrow & & \uparrow & & \uparrow & & \uparrow & \\ \langle \rho, 1, 0 \rangle & & \langle \rho, 1, 2 \rangle & & \langle \rho, 2, 1 \rangle & & & & & & \end{array}$$

example transitions: $(\rho'$ has lhs B and $\bar{\rho}$ has A on rhs)read: $(\langle \rho, 1, 0 \rangle, a, \text{true}, \text{id}, \langle \rho, 1, 1 \rangle)$ call: $(\langle \rho, 1, 1 \rangle, \varepsilon, \text{true}, \text{push}_1(\langle \rho, 1, 2 \rangle), \langle \rho', 1, 0 \rangle)$

$$k\text{-MCFL} \subseteq k\text{-TSL}_r$$

Construction idea:

$$\rho = A \rightarrow [\bullet a \bullet x_1 \bullet , \bullet c \bullet x_2 \bullet](B)$$

return addresses

$$\langle \rho, 1, 0 \rangle \quad \langle \rho, 1, 2 \rangle \quad \langle \rho, 2, 1 \rangle$$

example transitions:

(ρ' has lhs B and $\bar{\rho}$ has A on rhs)

read: ($\langle \rho, 1, 0 \rangle$, a , true, id, $\langle \rho, 1, 1 \rangle$)

call: ($\langle \rho, 1, 1 \rangle$, ε , true, push₁($\langle \rho, 1, 2 \rangle$), $\langle \rho', 1, 0 \rangle$)

return: ($\langle \rho, 1, 2 \rangle$, ε , eq($\langle \bar{\rho}, i, j \rangle$), set(ρ), $\langle \bar{\rho}, i, j \rangle_{\downarrow}$)
($\langle \bar{\rho}, i, j \rangle_{\downarrow}$, ε , true, down, $\langle \bar{\rho}, i, j \rangle$)

$$k\text{-MCFL} \subseteq k\text{-TSL}_r$$

Construction idea:

$$\rho = A \rightarrow [\bullet a \bullet x_1 \bullet , \bullet c \bullet x_2 \bullet](B)$$

return addresses

$$\langle \rho, 1, 0 \rangle \quad \langle \rho, 1, 2 \rangle \quad \langle \rho, 2, 1 \rangle$$

example transitions:

(ρ' has lhs B and $\bar{\rho}$ has A on rhs)

read: ($\langle \rho, 1, 0 \rangle$, a , true, id, $\langle \rho, 1, 1 \rangle$)

call: ($\langle \rho, 1, 1 \rangle$, ε , true, push₁($\langle \rho, 1, 2 \rangle$), $\langle \rho', 1, 0 \rangle$)

return: ($\langle \rho, 1, 2 \rangle$, ε , eq($\langle \bar{\rho}, i, j \rangle$), set(ρ), $\langle \bar{\rho}, i, j \rangle_{\downarrow}$)
($\langle \bar{\rho}, i, j \rangle_{\downarrow}$, ε , true, down, $\langle \bar{\rho}, i, j \rangle$)

resume: ($\langle \rho, 2, 1 \rangle$, ε , true, up₁, $\langle \rho, 2, 1 \rangle_{\uparrow}$)
($\langle \rho, 2, 1 \rangle_{\uparrow}$, ε , eq(ρ'), set($\langle \rho, 2, 2 \rangle$), $\langle \rho', 2, 0 \rangle$)

$$k\text{-TSL}_r \subseteq k\text{-MCFL}$$

Lemma

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Proof idea:

(1) construct an MCFG that generates the runs

(2) use closure of MCFG under homomorphisms

$$k\text{-TSL}_r \subseteq k\text{-MCFL}$$

Proof idea:

(1) construct an MCFG that generates the runs

$$\langle \underbrace{q_1, q'_1, \dots, q_m, q'_m}_{\in Q^{2m}}; \underbrace{\gamma_0, \dots, \gamma_m}_{\in \Gamma^{m+1}} \rangle \Longrightarrow^* (\theta_1, \dots, \theta_m)$$

if and only if

- $\theta_1, \dots, \theta_m$ all return to the stack position they started from and never go below it
- θ_i starts with state q_i and stack symbol γ_{i-1} and ends with q'_i and γ_i (for $1 \leq i \leq m$)

(2) use closure of MCFG under homomorphisms

k -TSL_r \subseteq k -MCFL (monadic example)

transitions:

$$\tau_1 = (1, a, \text{true}, \text{push}_1(*), 1)$$

$$\tau_2 = (1, \varepsilon, \text{true}, \text{push}_1(\#), 2)$$

$$\tau_3 = (2, \varepsilon, \text{eq}(\#), \text{down}, 2)$$

$$\tau_4 = (2, b, \text{eq}(*), \text{down}, 2)$$

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$$\tau_8 = (4, d, \text{eq}(*), \text{down}, 4)$$

$$\tau_9 = (4, \varepsilon, \text{bot}, \text{id}, 5)$$

$k\text{-TSL}_r \subseteq k\text{-MCFL}$ (monadic example)

transitions:

run for $a^2b^2c^2d^2$ and the stack:

| | |
|--|----------------------|
| $\tau_1 = (1, a, \text{true}, \text{push}_1(*), 1)$ | |
| $\tau_2 = (1, \varepsilon, \text{true}, \text{push}_1(\#), 2)$ | 111 |
| $\tau_3 = (2, \varepsilon, \text{eq}(\#), \text{down}, 2)$ | |
| $\tau_4 = (2, b, \text{eq}(*), \text{down}, 2)$ | 11 |
| $\tau_5 = (2, \varepsilon, \text{bot}, \text{up}_1, 3)$ | |
| $\tau_6 = (3, c, \text{eq}(*), \text{up}_1, 3)$ | 1 |
| $\tau_7 = (3, \varepsilon, \text{eq}(\#), \text{down}, 4)$ | |
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$k\text{-TSL}_r \subseteq k\text{-MCFL}$ (monadic example)

transitions:

run for $a^2b^2c^2d^2$ and the stack:

$$\tau_1 = (1, a, \text{true}, \text{push}_1(*), 1)$$

$$\tau_2 = (1, \varepsilon, \text{true}, \text{push}_1(\#), 2) \quad 111$$

$$\tau_3 = (2, \varepsilon, \text{eq}(\#), \text{down}, 2)$$

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1 (1, *)
↑
 τ_1
ε (1, @)

$k\text{-TSL}_r \subseteq k\text{-MCFL}$ (monadic example)

transitions:

$$\tau_1 = (1, a, \text{true}, \text{push}_1(*), 1)$$

$$\tau_2 = (1, \varepsilon, \text{true}, \text{push}_1(\#), 2) \quad 111$$

$$\tau_3 = (2, \varepsilon, \text{eq}(\#), \text{down}, 2)$$

$$\tau_4 = (2, b, \text{eq}(*), \text{down}, 2) \quad 11 \quad (1, *)$$

$$\tau_5 = (2, \varepsilon, \text{bot}, \text{up}_1, 3)$$

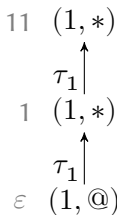
$$\tau_6 = (3, c, \text{eq}(*), \text{up}_1, 3) \quad \uparrow \tau_1$$

$$\tau_7 = (3, \varepsilon, \text{eq}(\#), \text{down}, 4) \quad 1 \quad (1, *)$$

$$\tau_8 = (4, d, \text{eq}(*), \text{down}, 4) \quad \uparrow \tau_1$$

$$\tau_9 = (4, \varepsilon, \text{bot}, \text{id}, 5) \quad \varepsilon \quad (1, @)$$

run for $a^2b^2c^2d^2$ and the stack:



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run for $a^2b^2c^2d^2$ and the stack:

$$111 (2, \#)$$

\uparrow
 τ_2

$$11 (1, *)$$

\uparrow
 τ_1

$$1 (1, *)$$

\uparrow
 τ_1

$$\varepsilon (1, @)$$

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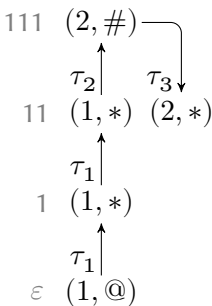
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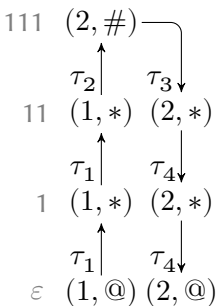
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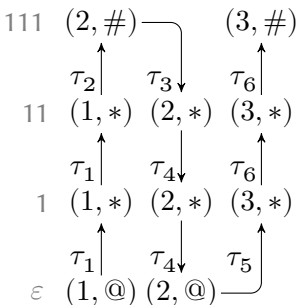
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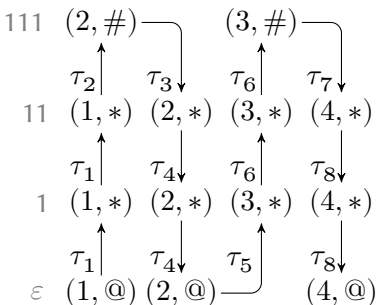
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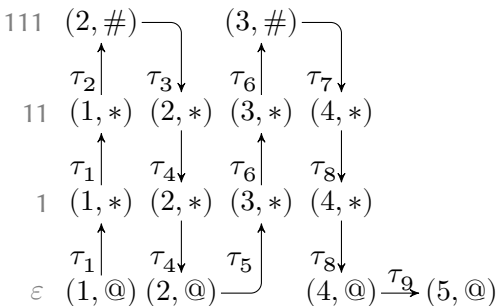
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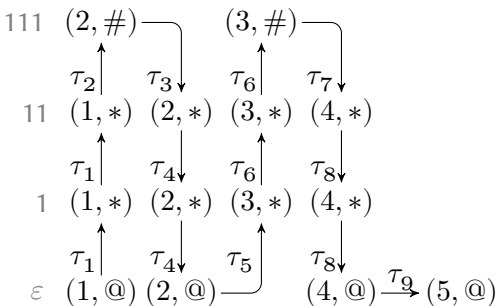
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run for $a^2b^2c^2d^2$ and the stack:



principle of crossing sequences

$k\text{-TSL}_r \subseteq k\text{-MCFL}$ (monadic example)

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$$\tau_5 = (2, \varepsilon, \text{bot}, \text{up}_1, 3)$$

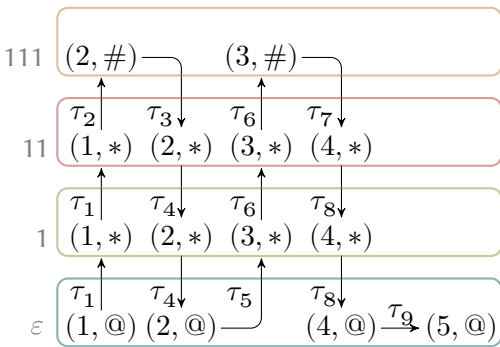
$$\tau_6 = (3, c, \text{eq}(*), \text{up}_1, 3)$$

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run for $a^2b^2c^2d^2$ and the stack:



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$$\tau_5 = (2, \varepsilon, \text{bot}, \text{up}_1, 3)$$

$$\tau_6 = (3, c, \text{eq}(*), \text{up}_1, 3)$$

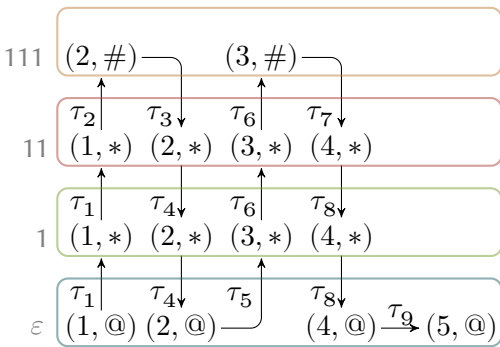
$$\tau_7 = (3, \varepsilon, \text{eq}(\#), \text{down}, 4)$$

$$\tau_8 = (4, d, \text{eq}(*), \text{down}, 4)$$

$$\tau_9 = (4, \varepsilon, \text{bot}, \text{id}, 5)$$

some rules:

run for $a^2b^2c^2d^2$ and the stack:



principle of crossing sequences

$$\langle 1, 5; @, @ \rangle \rightarrow [\tau_1 x_1 \tau_4 \tau_5 x_2 \tau_8 \tau_9] (\langle 1, 2, 3, 4; *, *, * \rangle)$$

$k\text{-TSL}_r \subseteq k\text{-MCFL}$ (monadic example)

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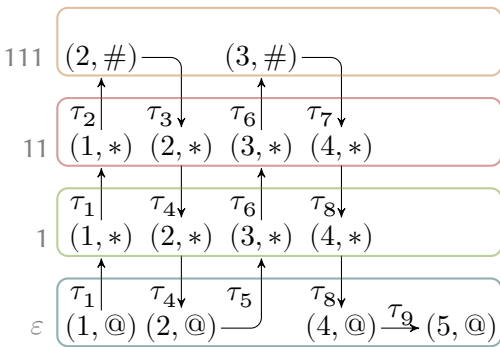
$$\tau_9 = (4, \varepsilon, \text{bot}, \text{id}, 5)$$

some rules:

$$\langle 1, 2, 3, 4; *, *, * \rangle \rightarrow [\tau_1 x_1 \tau_4, \tau_6 x_2 \tau_8](\langle 1, 2, 3, 4; *, *, * \rangle)$$

$$\langle 1, 5; @, @ \rangle \rightarrow [\tau_1 x_1 \tau_4 \tau_5 x_2 \tau_8 \tau_9](\langle 1, 2, 3, 4; *, *, * \rangle)$$

run for $a^2 b^2 c^2 d^2$ and the stack:



principle of crossing sequences

$k\text{-TSL}_r \subseteq k\text{-MCFL}$ (monadic example)

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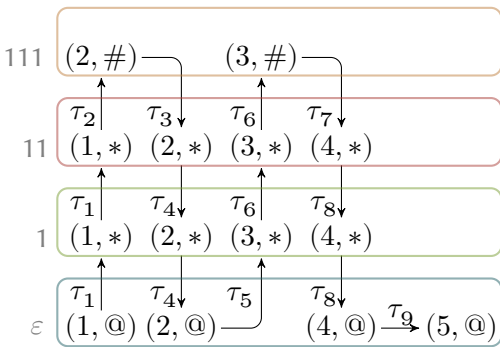
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run for $a^2b^2c^2d^2$ and the stack:



some rules:

principle of crossing sequences

$$\langle 1, 2, 3, 4; *, *, * \rangle \rightarrow [\tau_2 x_1 \tau_3, \tau_6 x_2 \tau_7] (\langle 2, 2, 3, 3; \#, \#, \# \rangle)$$

$$\langle 1, 2, 3, 4; *, *, * \rangle \rightarrow [\tau_1 x_1 \tau_4, \tau_6 x_2 \tau_8] (\langle 1, 2, 3, 4; *, *, * \rangle)$$

$$\langle 1, 5; @, @ \rangle \rightarrow [\tau_1 x_1 \tau_4 \tau_5 x_2 \tau_8 \tau_9] (\langle 1, 2, 3, 4; *, *, * \rangle)$$

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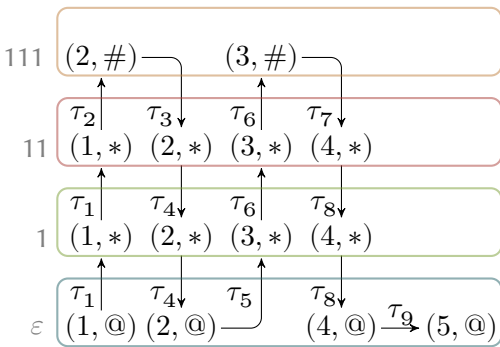
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run for $a^2b^2c^2d^2$ and the stack:



some rules:

$$\langle 2, 2, 3, 3; \#, \#, \# \rangle \rightarrow [\varepsilon, \varepsilon]()$$

$$\langle 1, 2, 3, 4; *, *, * \rangle \rightarrow [\tau_2 x_1 \tau_3, \tau_6 x_2 \tau_7](\langle 2, 2, 3, 3; \#, \#, \# \rangle)$$

$$\langle 1, 2, 3, 4; *, *, * \rangle \rightarrow [\tau_1 x_1 \tau_4, \tau_6 x_2 \tau_8](\langle 1, 2, 3, 4; *, *, * \rangle)$$

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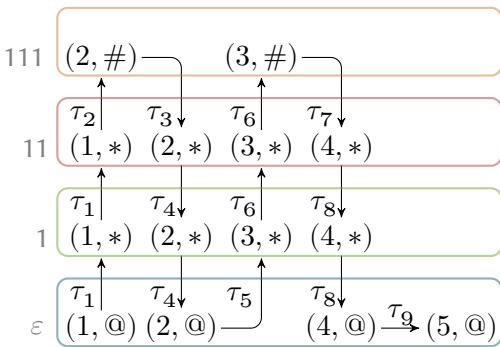
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run for $a^2b^2c^2d^2$ and the stack:



some rules:

$$\langle 2, 2, 3, 3; \#, \#, \# \rangle \rightarrow [\varepsilon, \varepsilon]()$$

$$\langle 1, 2, 3, 4; *, *, * \rangle \rightarrow [x_1, cx_2](\langle 2, 2, 3, 3; \#, \#, \# \rangle)$$

$$\langle 1, 2, 3, 4; *, *, * \rangle \rightarrow [ax_1b, cx_2d](\langle 1, 2, 3, 4; *, *, * \rangle)$$

$$\langle 1, 5; @, @ \rangle \rightarrow [ax_1b, x_2d](\langle 1, 2, 3, 4; *, *, * \rangle)$$

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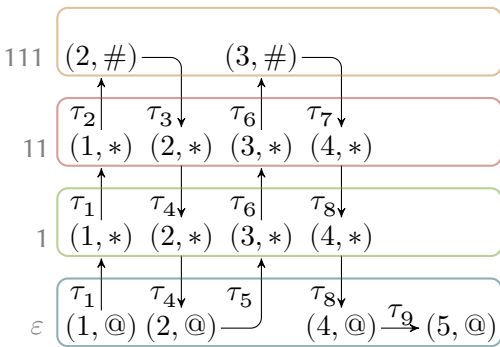
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some rules:

principle of crossing sequences

$$B \rightarrow [\varepsilon, \varepsilon]()$$

$$A \rightarrow [x_1, c x_2](B)$$

$$A \rightarrow [a x_1 b, c x_2 d](A)$$

$$S \rightarrow [a x_1 b \quad x_2 d](A)$$

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thread automata



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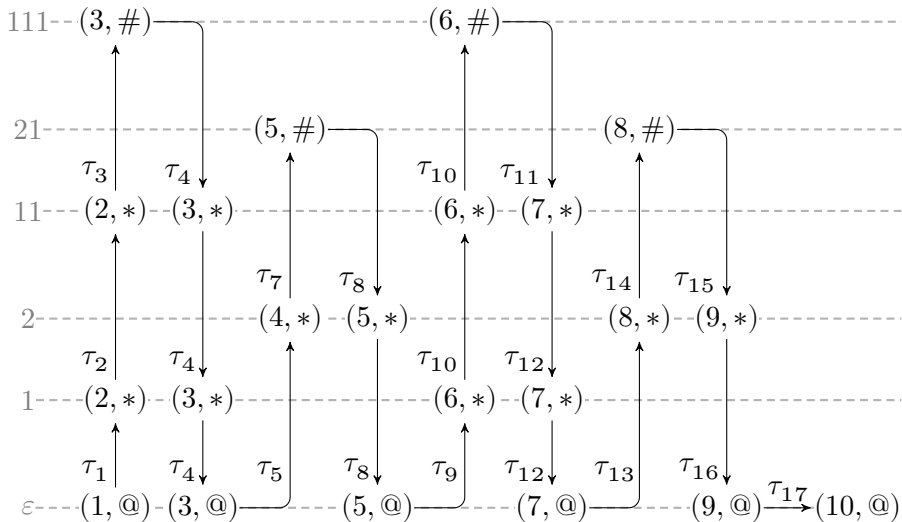


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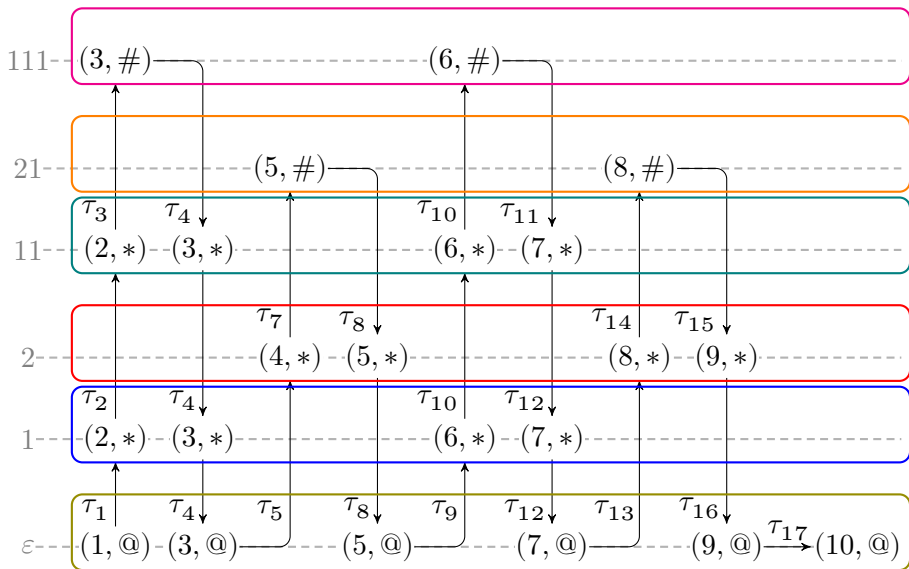


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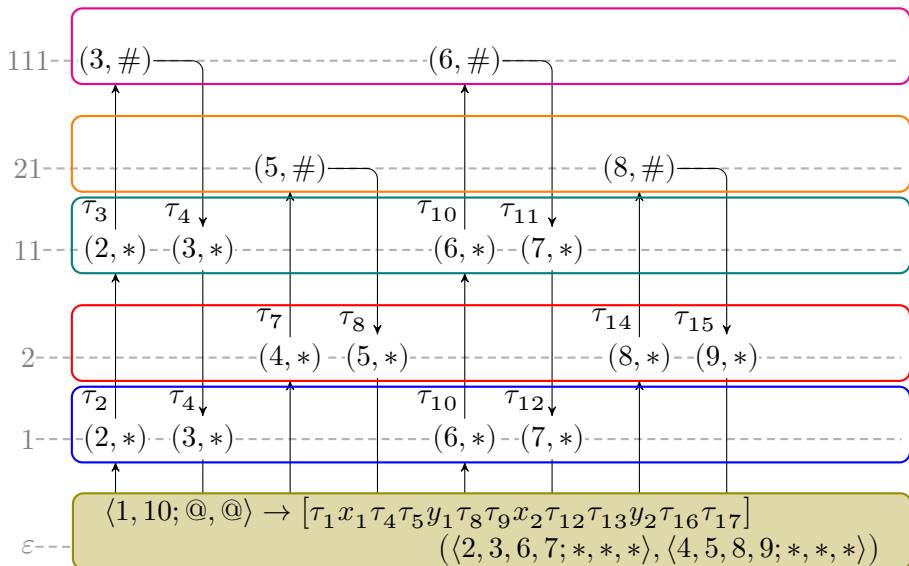
$k\text{-TSL}_r \subseteq k\text{-MCFL}$ (non-monadic example)



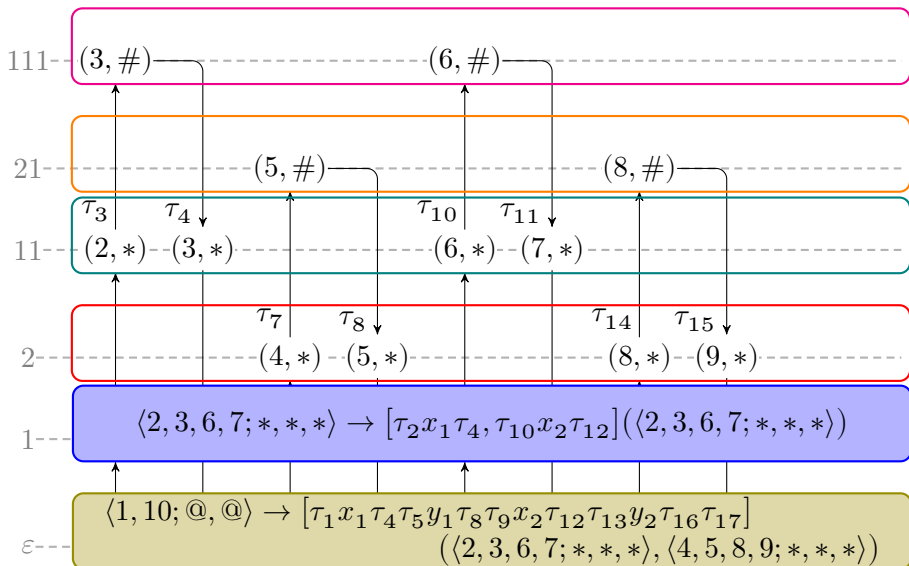
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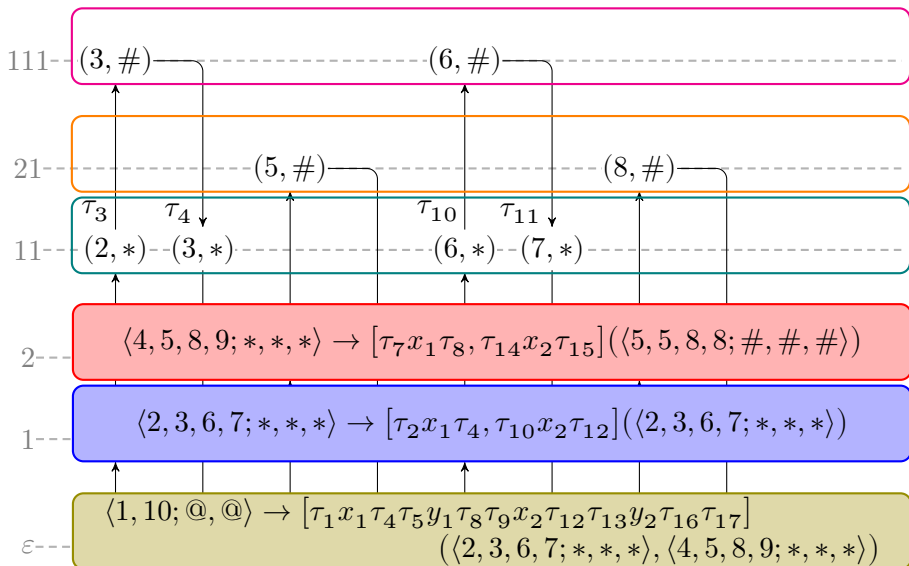
k -TSL_r \subseteq k -MCFL (non-monadic example)



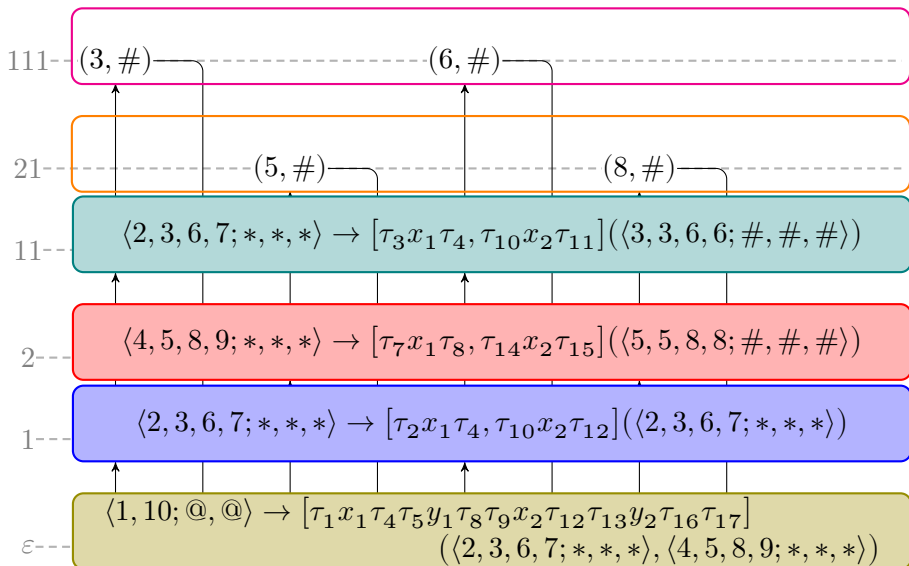
k -TSL_r \subseteq k -MCFL (non-monadic example)



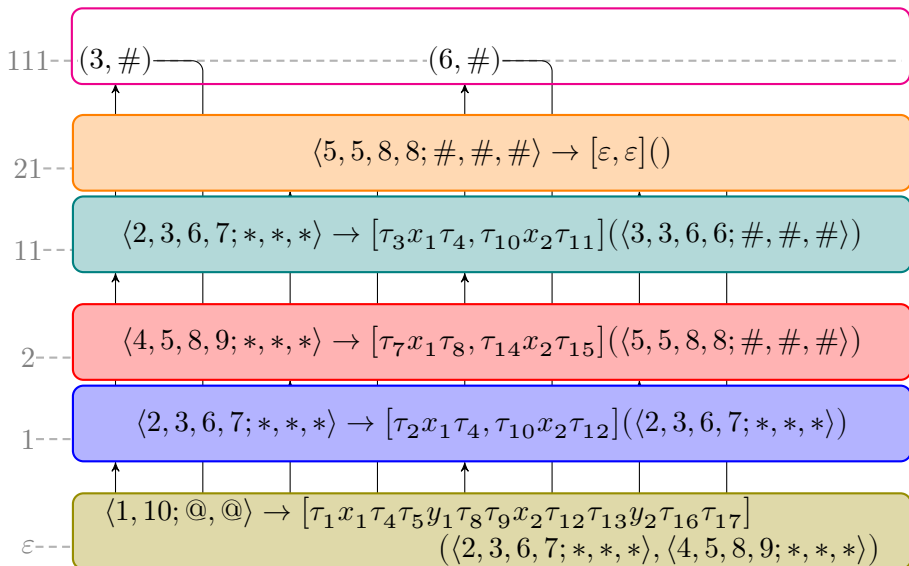
k -TSL_r \subseteq k -MCFL (non-monadic example)



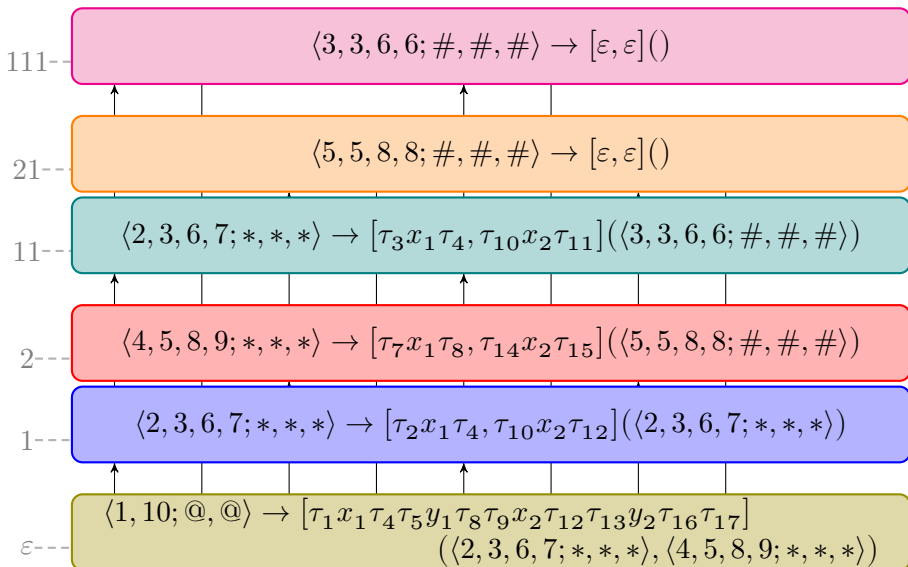
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k -TSL_r \subseteq k -MCFL (non-monadic example)



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